



April 20, 2022

The Manager
Listing Department
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor
Plot No.C-1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051

The Secretary
BSE Limited,
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai 400 001

Scrip Code : 504973

Symbol : CHOLAHLDNG

Dear Sir / Madam,

Sub: Compliance Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the year ended March 31, 2022

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from April 1, 2021 to March 31, 2022.

We request you to take the above on record.

Thanking you,

For **CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED**


E KRITHIKA
COMPANY SECRETARY

Encl: as above

Cholamandalam Financial Holdings Limited

(Formerly known as TI Financial Holdings Limited)

Dare House, 234, N.S.C. Bose Road, Chennai 600 001, India.

Tel : +91.44.4217 7770-5 Fax : 91.44.4211 0404

Website : www.cholafhl.com

CIN - L65100TN1949PLC002905



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COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from **1st April, 2021 to 31st March, 2022**

ISIN: INE149A01033

SYMBOL: CHOLAHLNG

We have examined all Registers, files and other documents, relating to **Cholamandalam Financial Holdings Limited**, having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agent viz., **Kfin Technologies Limited (Formerly known as Kfin Technologies Private Limited)**, having its office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 pertaining to the period from **01st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate under Regulation 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No.SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 and the Uniform Listing Agreement entered into by **Cholamandalam Financial Holdings Limited** with the **National Stock Exchange of India Limited** and based on our examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31st March, 2022** has not received any request(s) for Transfer, Transposition of securities, change of name, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for Transmission of shares, Deletion of name and Duplicate share certificates and the same has been delivered within 30 days from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and also complied with SEBI Circular No.SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

For **R. SRIDHARAN & ASSOCIATES**
COMPANY SECRETARIES



CS R.SRIDHARAN

C.P.No.3239

FCS No.4775

PR NO.657/2020

UIN: S2003TN063400

UDIN: F004775D000166948

Place: Chennai
Date: 20th April, 2022

CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from **1st April, 2021 to 31st March, 2022**

ISIN: INE149A01033

SECURITY CODE: 504973

We have examined all Registers, files and other documents, relating to **Cholamandalam Financial Holdings Limited (the Company)**, having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agents viz., **Kfin Technologies Limited ("RTA") (Formerly known as Kfin Technologies Private Limited)**, having its office at Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 pertaining to the period from **01st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No.SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 and the Uniform Listing Agreement entered into by **Cholamandalam Financial Holdings Limited** with the **BSE Limited** and based on our examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31st March, 2022** has not received any request(s) for Transfer, Transposition of securities, change of name, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for Transmission of shares, Deletion of name and Duplicate share certificates and the same has been delivered within 30 days from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and also complied with SEBI Circular No.SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

**For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**



CS R.SRIDHARAN

C.P.No.3239

FCS No.4775

PR NO.657/2020

UIN: S2003TN063400

UDIN: F004775D000166948

Place: Chennai
Date: 20th April, 2022