

October 18, 2019

**The Manager
Listing Department
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor
Plot No.C-1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051**

**The Secretary
BSE Limited,
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai 400 001**

Symbol : CHOLAHLDNG

Scrip Code : 504973

Dear Sirs,

Sub: Compliance Certificate under Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the half year ended September 30, 2019

Pursuant to Regulation 40(9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from April 1, 2019 to September 30, 2019.

We request you to take the above on record.

Thanking you,
For **Cholamandalam Financial Holdings Limited**
(Formerly, TI Financial Holdings Limited)



**E Krithika
Company Secretary**

18th October, 2019

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 1st April, 2019 to 30th September, 2019

ISIN: INE149A01033

SECURITY CODE: 504973

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Cholamandalam Financial Holdings Limited** (Formerly known as **TI Financial Holdings Limited**) having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agent (RTA) of the company viz., **Karvy Fintech Private Limited** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032, for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR) and Uniform Listing Agreement entered into by, **Cholamandalam Financial Holdings Limited** with the **BSE Limited** and **Press release No. 49/ 2018** dated **03rd December, 2018** and **Press release No. 12/2019** dated **27th March, 2019** issued by Securities and Exchange Board of India and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended **30th September 2019**, delivered all the share certificates relating to valid share transfer deeds received during the period from **1st April, 2019 to 30th September, 2019** as entered in the Memorandum of Transfers duly endorsed within thirty days from the date of lodgment for transfer as stipulated under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We further certify that based on the verification of records produced before us and information and the certificates produced by the Company/ RTA that the transfer forms lodged with the RTA during the above said period were only documents lodged earlier prior to the deadline and re-lodged after rectification of deficiency.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for sub division of share certificates and the same has been delivered within the stipulated time period as stated in the SEBI LODR.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has not received any requests for issue of duplicate share certificates and consolidation of share certificates.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES



CS R. SRIDHARAN

C.P.No.3239

FCS No.4775

UIN: S2003TN063400

UDIN: F004775A000113510

CHOLAMANDALAM FINANCIAL HOLDINGS LIMITED

18th October, 2019

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 1st April, 2019 to 30th September, 2019

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We further certify that based on the verification of records produced before us and information and the certificates produced by the Company/ RTA that the transfer forms lodged with the RTA during the above said period were only documents lodged earlier prior to the deadline and re-lodged after rectification of deficiency.

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for sub division of share certificates and the same has been delivered within the stipulated time period as stated in the SEBI LODR.

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